

Fast Single-Article Megasonic Cleaning Process for Single-Sided or Dual-Sided Cleaning

Related Applications

5 This application is a continuation-in-part of patent application number 09/655,038, filed September 5, 2000 now abandoned, which was a continuation of PCT/US99/02686/1999, filed on August 2, 1999, which claims benefit of provisional application 60/104,131, filed October 14, 1998. This application also incorporates material from provisional application 60/192531, filed March 28, 2000.

Field of the Invention

10 This invention relates generally to surface cleaning of articles such as semiconductor wafers, flat panel display glass, hard disk drives and heads, and the like to remove particulate and chemical contaminants. In particular, the invention relates to megasonic cleaning of oxide, metallic, or polymer films following planarization (Chemical
15 Mechanical Polishing, CMP) and other polishing processes.

Background of the Invention

Wafer cleaning (especially megasonic wafer cleaning) is used before and after most basic semiconductor manufacturing processes such as: pre-oxidation, pre-CVD, pre-EPI, post-ASH, and post-CMP. Megasonic cleaning is used in every major semiconductor
20 fabrication facility today. The majority of these processes are batch processes. A paper by G. W. Gale and A. A. Busnaina, "Removal of particle contaminations using

ultrasonics and megasonics: a review", Particulate Science and Technology, vol. 13, pp. 197 – 211 (1995) reviewed the background art. Some megasonic nozzles are being marketed for rinsing purposes after contact cleaning processes. Such nozzles are available from Dainippon Screen Mfg. Co. of Kyoto, Japan; Solid State Equipment Corp. of Fort Washington, PA, and others. However, available megasonic nozzles are not sufficiently effective in cleaning wafers because of the low power, the low flow rate, and their small relative size (a small ratio of transducer area to wafer area). No effective, fast, non-contact, single-wafer cleaning process exists today. One company (Verteq, Inc., of Santa Ana, CA) has produced a megasonic single-wafer cleaning system called "Goldfinger." The Goldfinger system uses one transducer above a rotating wafer, with a meniscus between the wafer and the transducer. Single-wafer megasonic cleaning methods and apparatus are described in U.S. Pat. Nos. 5,090,432, 5,148,823, and 5,286,657 to Bran.

Most megasonic cleaning tanks are employed in batch cleaning processes that may take, on average, between 10 and 20 minutes for cleaning a batch of about 25 wafers. The long cleaning time has been a major problem and a source of low production output. In addition, the majority of other semiconductor manufacturing processes are single-wafer processes. Therefore, use of a batch process for cleaning creates bottleneck and other wafer-handling problems associated with integrating the vast number of single-wafer manufacturing processes with batch cleaning processes.

Megasonic single-wafer cleaning systems using a relatively small transducer above a rotating wafer with a meniscus between the wafer and the transducer have been extensively tested by users and have not been proven sufficiently effective. Therefore they have not been generally adopted for demanding cleaning applications such as post-Chemical-Mechanical-Polishing (CMP) cleaning in the semiconductor and other industries. Reasons for the insufficient effectiveness include the facts that the megasonic energy delivered per square centimeter of the wafer is very small in such systems and that

the megasonic energy is delivered for a fraction of the time during the duration of the cleaning process. These limitations diminish the cleaning effectiveness of the process.

Summary of the Invention

Accordingly, several objects and advantages of the present invention are to provide
5 surface cleaning of semiconductor wafers, flat-panel-display glass, or hard-disk-drive
disks and heads effectively in a very short time, with or without the use of chemicals
other than deionized (DI) water. The process of the present invention has improved
effectiveness and efficiency in comparison with all of the cleaning process products of the
prior art. The cleaning system of the present invention, which is compact in size and
10 process, puts an end to all the problems associated with batch cleaning processes. The
improvements are accomplished in part by providing a new apparatus and process that
utilizes a different design geometry than those commonly used in megasonic cleaning
tanks. The improvements also involve system and process specifications such as the
relative size of the transducer area with respect to the substrate to be cleaned (e.g.,
15 semiconductor wafers), the distance between wafer and transducer, the transducer power
and intensity, the overflow flow rate, the cleaning time, and the process temperature. A
particular advantage of the present invention is that maximum megasonic energy is
delivered to every square centimeter of the wafer area for the entire duration of the
cleaning process without the need for wafer rotation. Two alternate configurations are
20 presented; one uses a smaller foot print to reduce the floor area that the tool will occupy.
Experimental data shows that the cleaning efficiency obtained using this process (in less
than one minute, and often as little as 15 seconds) is better than that of a batch megasonic
cleaning after 13 minutes. A key factor is in the application of the same amount of
megasonic energy to one wafer in the present invention as is used in cleaning 25 wafers in
25 methods of the prior art. Still further objects and advantages will become apparent from
a consideration of the ensuing description and accompanying drawings.

With the recent trend by semiconductor manufacturers of adopting single-wafer processes in manufacturing, the improved process of the present invention is expected to reduce cleaning and manufacturing time and is expected to solve the bottleneck and other wafer-handling problems associated with integrating the vast number of single-wafer manufacturing processes with cleaning processes.

As pointed out above, most batch cleaning megasonic cleaning processes take, on average, 10 - 20 minutes time for cleaning a batch of about 25 semiconductor wafers, hard-drive disks, or flat-panel-display glass substrates. Most attempts by various equipment manufacturers at cleaning a single wafer in a short time using a megasonic process have not been successful. There is an immediate need for an effective, fast, non-contact, single-wafer cleaning method especially for post-chemical-mechanical-polishing (post-CMP) cleaning applications.

Brief Description of the Drawings

FIG. 1. Schematic cross-sectional elevation view of a typical batch megasonic cleaning apparatus of the prior art.

FIG. 1A. Magnified detail of a portion of FIG. 1.

FIG. 2. Schematic cross-sectional elevation view of a fast single-wafer megasonic cleaning apparatus made in accordance with the present invention.

FIG. 3. Schematic cross-sectional elevation view of a second embodiment of a fast single-wafer megasonic cleaning apparatus made in accordance with the present invention.

FIG. 4. Bar chart illustrating removal efficiency of silica particles using apparatus and methods of the present invention.

FIG. 5. Bar chart illustrating removal efficiency of alumina particles using the present invention, compared with removal efficiency using a method and apparatus of the prior art for various cleaning times.

FIG. 6. Bar chart illustrating particle counts of alumina particles before and after deposition, and after cleaning by use of the present invention in comparison with particle counts with a prior art method and apparatus.

FIG. 7. Schematic cross-sectional view of a single-wafer megasonic cleaning apparatus having a pair of transducers mounted on either side of a substrate in accordance with the present invention.

FIG. 8. Schematic cross-sectional view of a horizontal embodiment of a single-wafer megasonic cleaning apparatus having a pair of transducers mounted on either side of a substrate in accordance with the present invention.

Detailed Description of the Invention

A megasonic transducer is used to clean substrates (such as semiconductor wafers) at frequencies larger than 400 kHz - 20,000 kHz or higher. The technique introduces a single-wafer cleaning process that reduces the cleaning time from 10 - 20 minutes to the present invention's cleaning time of 15 - 60 seconds. The process of the present invention cleans a wafer in less than one minute without utilizing any chemistry other than deionized (DI) water. The use of chemistry such as SC1 (5 - 40 H₂O: 1 - 2 H₂O₂: 1 NH₄OH) should reduce the current cleaning time. Megasonic cleaning provides a very

small acoustic boundary layer (on the order of 0.59 microns for 900 kHz) which exposes contaminants, such as submicron particles, to the fluid's acoustic stream and facilitates their removal. It has been shown that the new process is capable of completely removing particles as small as 100 nanometers (current surface detection limits). The detailed description below indicates why current megasonic equipment used today is not capable of matching the current removal efficiency provided by this invention using the same cleaning time. The semiconductor industry is quickly moving toward single-wafer processing. Today more than 80% of wafer processing is single-wafer based. This process eliminates the need for batch cleaning processes as well as reducing the time per wafer to less than 60 seconds. The cleaning time depends on the type of contaminant to be removed. For instance, silica particles can be completely removed in 15 seconds while alumina particles may need 30 seconds or more time when using DI water.

The technique is very effective when utilizing only DI water. The technique becomes even more effective when coupled with basic or acidic chemistry (depending on the substrate to be cleaned).

Most megasonic cleaning processes used extensively in wafer cleaning by the semiconductor, hard drive, and flat-panel display industries are batch cleaning processes that typically take between 10 and 20 minutes, on average, (for 25 wafers cleaned simultaneously in a batch cleaning tank). FIG. 1 shows a schematic cross-sectional elevation view of a typical batch megasonic cleaning apparatus 10 of the prior art. A multiplicity 20 of wafers to be cleaned is held in a cassette 30 which holds wafers 20 in a parallel arrangement inside container 40. Container 40 also holds a liquid cleaning medium 50, which has a liquid surface 55. A megasonic transducer 60 transfers megasonic energy 70 through cleaning medium 50 to the surfaces wafers 20.

FIG. 1A shows a magnified detail of a portion of **FIG. 1**, illustrating schematically by flow lines 100 the slower fluid flow that occurs near the surface of an individual wafer 90

in such a batch process, where the liquid medium flows between wafers. Comparisons between the results obtained using prior art apparatus similar to **FIG. 1** as compared with results using the methods and apparatus of the present invention are described below. Most earlier attempts by various equipment manufacturers at cleaning a single wafer using megasonic cleaning for a short time have not been successful.

The process of the present invention is capable of accomplishing cleaning in a very short time without the use of any chemicals. This is accomplished by a new process that requires a different geometry and by controlling and using specific process specifications and parameters such as the relative size of the transducer area with respect to the substrate to be cleaned (e.g., semiconductor wafers), distance between wafer and transducer, transducer power and intensity, overflow flow rate, cleaning time, and process temperature. The process steps and the parameters controlled are presented below.

Two alternate configurations for the apparatus are presented in **FIGS. 2** and **3**. **FIG. 2** shows a schematic cross-sectional elevation view of a first fast single-wafer megasonic cleaning apparatus **200** made in accordance with the present invention. **FIG. 3** shows a schematic cross-sectional elevation view of a second embodiment of a fast single-wafer megasonic cleaning apparatus **200** made in accordance with the present invention. The second embodiment shown in **FIG. 2** uses a smaller footprint to reduce the floor area the tool occupies. In both **FIGS. 2** and **3**, the apparatus **200** includes a container **205** for holding single wafer **90** to be cleaned and for holding the liquid cleaning medium **220**, and a megasonic transducer **210** disposed to face the surface of single wafer **90** to be cleaned. Megasonic energy is directed **270** from megasonic transducer **210** toward the surface of single wafer **90** to be cleaned. The apparatus is arranged so that liquid cleaning medium **220** has a free liquid surface **250**, and the liquid flow is shown in **FIGS. 2** and **3** by flowlines **245** within container **205**, by inlet flowlines **240**, and by overflow outlet flowlines **260**, showing that the liquid cleaning medium **220** overflows the container.

Megasonic transducer **210** has a transducer area between 40% and 100% of the area of the individual substrate **90** to be cleaned. The substrate **90** is positioned parallel to the transducer **210** and spaced apart from megasonic transducer **210** by a predetermined distance. A flow of liquid medium **220** is maintained between the substrate and the transducer, while applying megasonic energy at a suitable frequency of at least 400 kilohertz (kHz). Megasonic transducer **210** may be a conventional piezoelectric transducer capable of operating at a suitable frequency. A conventional supply of electrical energy at a suitable frequency is provided to drive the megasonic transducer **210**. The megasonic energy applied has a maximum power of at least 400 watts. The megasonic energy applied should be between 20% and 100% of the maximum power and preferably between 50% and 100% when cleaning with DI water alone. The transducer **210** should have a total input intensity (power per unit transducer area) of at least four watts per square centimeter.

For using a transducer area of less than 100% of the area of the individual substrate **90**, a relative motion between the individual substrate and the transducer is preferably provided in a direction parallel to the substrate, while performing the fluid-flowing and the megasonic-energy-applying step. The transducer should face at least 40% of the surface area of individual substrate **90** to be cleaned. That is, the major area of the transducer that faces the substrate **90** should have an area that is at least 40% of the major area of one side of the substrate **90** to be cleaned. The distance between the transducer and the individual substrate **90** should be in the range from 1% to 80% of the maximum diameter of substrate **90**, or at least a minimum of 1 micrometer or larger away from the substrate. The distance between transducer **210** and the individual substrate **90** is preferably maintained in a range from 1 micrometer to 160 millimeters.

The fluid flowing in the space between the substrate and the transducer is moved at a fluid flow rate sufficient to carry particles away from the substrate before they redeposit on the substrate. The fluid medium **220** flowing in the space between substrate **90** and

the transducer **210** is preferably moved at a rate suitable to replace the fluid in the cleaning container **205** in less than or equal to one minute. The overall method for megasonic cleaning of individual substrates **90** with this apparatus thus comprises the steps of: providing a megasonic transducer **210** having a transducer area between 40% and 100% of the area of the individual substrate **90** to be cleaned; disposing the individual substrate **90** substantially parallel to and spaced apart from transducer **210** by a predetermined distance, thereby defining a space between substrate **90** and transducer **210**; and flowing a fluid through the space between substrate **90** and transducer **210**, while applying megasonic energy to the megasonic transducer **210** at a frequency of at least 400 kilohertz (kHz). Optionally, the method can also include the further step of providing relative motion between individual substrate **90** and transducer **210** in a direction substantially parallel to substrate **90**, while performing the fluid-flowing and energy-applying step. The fluid-flowing step is preferably performed at a fluid flow rate sufficient to carry particles away from the substrate before they redeposit on the substrate. Preferred process temperatures are in the range 20 °C to 70 °C.

In an alternate embodiment two megasonic transducers **210a**, **210b** can be used to clean both sides of substrate **90**, as shown for a vertical embodiment in **FIG. 7**. Substrate **90** is positioned parallel between transducers **210a**, **210b** and spaced apart from them by a predetermined distance. Both transducers **210a**, **210b**, or at least their active surfaces **210a'**, **210b'** can be immersed in fluid **220** along with substrate **90**. Transducers **210a**, **210b** are preferably mounted on opposite walls **205a**, **205b** of container **205'** in a fixed position, as shown in **FIG. 7**. Providing energy from both sides facilitates cleaning edges as well as both sides of substrate **90**.

Fluid **220** enters container **205'** from a lower end and flows between substrate **90** and transducers **210a**, **210b** on each side of substrate **90** while megasonic energy is applied to transducers **210a**, **210b**. Preferably a frequency of at least 400 kHz is used. Fluid **220** then overflows container **205'** at the top. Other parameters of the system are similar to those

provided for the single transducer embodiment described herein above.

For example if area of transducers **210a, 210b** is less than the area of the substrate **90**, a relative motion may be provided between substrate **90** and transducers **210a, 210b** in a direction parallel to substrate **90** while performing the fluid-flowing and the megasonic energy applying step. Transducers **210a, 210b** preferably each have an area at least 40% of the surface area of substrate **90**.

Transducers of the size illustrated in FIGS. 2, 3, and 7, are available as an array of transducers from PCT Systems Inc. in Fremont, CA. Individual transducers are available from Vertec Corporation in Santa Anna, California and from Imtec Corporation in Sunnyvale, California.

The distance between transducers **210a, 210b** and substrate **90** may range from 1% to 80% of the maximum diameter of substrate **90**. The distance between substrate and each transducer may be 1 micrometer or more or may range from 1 micrometer to 160 millimeters.

The megasonic energy applied can have a maximum power of 400 watts or higher. The megasonic energy applied to substrate **90** is preferably between 50% to 100% of the maximum power. Transducers **210a, 210b** preferably have provide an intensity of at least four watts per square centimeter.

Fluid **220** flows in the space between substrate **90** and transducers **210a, 210b** at a fluid flow rate sufficient to carry particles away before they redeposit on substrate **90**. For example, the fluid flows at a rate to replace fluid **220** in cleaning container **205'** in less than or equal to one minute.

In a horizontal implementation of the invention lower array of transducers **210a'** are held

in container **206**, as illustrated in **FIG. 8**. Lower array of transducers **210a'** have openings **211** between some of the individual transducers of the array for the entrance of fluid **220** into container **206**. Substrate **90** is held above transducers **210a'** with substrate holders **208**. Fluid flows past both sides of substrate **90** before overflowing container **206** at overflows **260**. Upper array of transducers **210b'** can be brought into position with transducer loading arm **212** once substrate **90** has been loaded on holders **208**. This embodiment allows more control over spacing between substrate and transducers than the embodiment of **FIG. 7**. Spacing between the bottom surface of substrate **90** and lower array of transducers **210a'** is fixed by holders **208**. Spacing of top surface from upper array of transducers **210b'** can be controlled by adjusting height of upper array **210b'** to accommodate differences in substrate thickness.

Experimental data show that the cleaning efficiency obtained using the present invention process (in less than one minute, as little as 15 seconds) is better than that of a batch megasonic cleaning after 13 minutes. One key factor in achieving this improvement using the present invention is in applying to one wafer the same amount of megasonic energy used in a batch process for cleaning 25 wafers.

FIG. 4 is a bar chart illustrating removal efficiency of silica particles using apparatus and methods of the single transducer embodiment of the present invention. Vertical axis **400** represents particle removal efficiency, and cleaning time in seconds is plotted along horizontal axis **410**. Bar **420** shows the efficiency of removing 0.15 micrometer silica particles with 15 sec. cleaning. Bar **430** shows the efficiency of removing 0.15 micrometer silica particles with 30 sec. cleaning. Bar **440** shows the efficiency of removing 0.15 micrometer silica particles with 45 sec. cleaning.

FIG. 5 is a bar chart illustrating removal efficiency of alumina particles using the single transducer embodiment of the present invention, compared with removal efficiency using a method and apparatus of the prior art for various cleaning times. In **FIG. 5**, vertical axis

500 represents particle removal efficiency, and the processes used are plotted along horizontal axis 510. Bar 520 depicts the efficiency of removing alumina particles with 10 min. cleaning using a batch megasonic process of the prior art. Bar 530 depicts the efficiency of removing alumina particles with 20 min. cleaning using a batch megasonic process of the prior art. Bar 540 depicts the efficiency of removing alumina particles with 1 min. cleaning using the fast single-wafer megasonic process of the present invention.

FIG. 6 is a bar chart illustrating particle counts of alumina particles before and after deposition, and after cleaning by use of the single transducer embodiment of the present invention in comparison with particle counts with a prior art method and apparatus. The number of particles larger than 0.1 micrometer is represented by vertical axis **600** of **FIG. 6**. The horizontal axis **610** represents the process used. Bars **620** represent the number of particles measured before deposition, bars **630** the number of particles after deposition, and bars **640** the number of particles after cleaning. Groups **650** show the results due to cleaning by a batch megasonic cleaning process of the prior art for 10 min. Groups **660** show the results due to cleaning by batch megasonic cleaning process of the prior art for 20 min. Groups **670** show the results due to cleaning by fast single-wafer cleaning process of the present invention for 1 min.

The invention provides a megasonic cleaning process capable of accomplishing cleaning of a single wafer or other substrate in a very short time without the use of any chemicals other than de-ionized water. Apparatus specially adapted for performing the single-wafer megasonic cleaning process has improved efficiency of particle removal. Apparatus made in accordance with the invention is applicable to cleaning processes that require very clean surfaces, especially semiconductor wafer and photomask cleaning processes. The methods of the invention can be used to improve cleanliness of semiconductor wafers, thus increasing the yields and lowering the costs of the semiconductor products formed on the wafers. Similar apparatus suitably arranged can be used for cleaning other planar articles, such as glass or quartz flat panel display substrates, hard-disk-drive disks,

and heads.

While the invention has been shown and described in connection with a preferred embodiment, various changes may be made therein without departing from the spirit and scope of the invention as defined in the appended claims. For example, individual
5 cleaning stations as described herein may be combined together in a cluster in arrangements other than those shown. The order of steps of the processes may, of course, be varied.

What is claimed is:

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